

JPW



Patent  
Attorney's Docket No. H1417D

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	)	
	)	
Ming-Ren Lin et al.	)	Group Art Unit: 2813
	)	
Application No.: 10/825,175	)	Examiner: C. Whitehead
	)	
Filed: April 16, 2004	)	
	)	
For: METHOD FOR FORMING	)	
STRUCTURES IN FINFET DEVICES	)	

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**TRANSMITTAL LETTER**

U.S. Patent and Trademark Office  
220 20<sup>th</sup> Street S.  
Customer Window, Mail Stop Amendment  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, Virginia 22202

Sir:

Enclosed is a Supplemental Information Disclosure Statement and accompanying form  
PTO-1449 for the above-identified patent application.

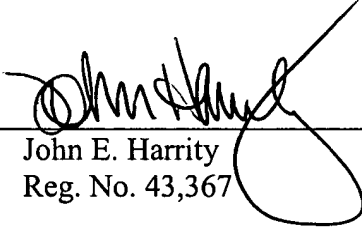
- ☒ No additional fee for submission of the IDS is required.
- ☐ The fee of \$180.00 as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ Charge \$ \_\_\_\_\_ to Deposit Account No. 50-1070 for the fee due.
- ☐ A check in the amount of \$ \_\_\_\_\_ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R.  
§§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to  
Deposit Account No. 50-1070.

Respectfully submitted,

HARRITY & SNYDER, L.L.P.

By: \_\_\_\_\_

  
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Customer Number: 45114

Date: September 17, 2004



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Title: METHOD FOR FORMING	)	
STRUCTURES IN FINFET DEVICES	)	

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97(b)**

U.S. Patent and Trademark Office  
220 20<sup>th</sup> Street S.  
Customer Window, Mail Stop Amendment  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, Virginia 22202

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant(s) bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action in the above-referenced application. As such, no certification or fee is required. Copies of the non-U.S. documents are attached.

Applicant(s) respectfully request(s) that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

If any copending application(s) is/are cited on the attached PTO 1449, the Examiner's attention is directed to the foregoing application(s) in compliance with § 2001.06(b) of the Manual of Patent Examining Procedure. By identifying the copending application(s), the assignee and/or applicant of the application(s) do not waive confidentiality of the application(s). Accordingly, the U.S. Patent and Trademark Office is requested to maintain the confidentiality of the copending application(s) under 35 U.S.C. § 122.

This submission does not represent that a search has been made and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant(s) determine(s) that the cited document(s) do not constitute "prior art" under United States law, Applicant(s) reserve(s) the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

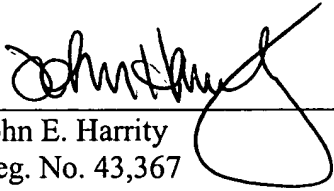
Applicant(s) further reserve(s) the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the  
fee to our Deposit Account No. 50-1070.

Respectfully submitted,

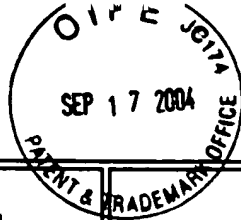
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Customer Number: 45114

Date: September 17, 2004



<b>INFORMATION DISCLOSURE CITATION</b> PTO-1449	Customer Number <b>45114</b>	ATTORNEY'S DKT No. H1417D	APPLICATION No. 10/825,175	
		APPLICANT(S) Ming-Ren Lin et al.		
		FILING DATE April 16, 2004	GROUP 2826	

U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	6,537,880 B1	03-25-03	Tseng	438	260	09-13-01
	6,177,299 B1	01-23-01	Hsu et al.	438	149	01-15-98
	5,545,586	08-13-96	Koh	437	89	05-13-94
	5,115,289	05-19-92	Hisamoto et al.	357	23.7	08-05-91
	2002/0060338 A1	05-23-02	Zhang	257	328	11-06-01
	2002/0043690 A1	04-18-02	Doyle et al.	257	368	03-14-00

FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
	EP 1 202 335 A2	05-02-02	EPO				
	DE 196 33 914 C1	08-07-97	Germany				X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	International Search Report, 3 pages.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).